APR 2 2 2007 W Appelyant:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Teruo Umemoto

Examiner:

10/023,365

Group Art Unit:

1712

Filed:

December 14, 2001

Docket:

40222.0003USC1

Title:

METHOD FOR PREPARING POLYMERS CONTAINING CYCLOPENTANONE

STRUCTURES

CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number: EV 073470230 US

Date of Deposit: April 22, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Paula Egolf

Commissioner for Patents Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

☐ Transmittal Sheet in duplicate containing Certificate of Mailing

Information Disclosure Statement, Form 1449, 9 References

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C. P.O. Box 2903, Minneapolis, MN 55402-0903 (303) 357-1644

23552

PATENT TRADEMARK OFFICE

By:

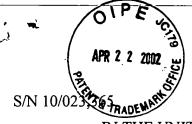
Name: John R.

Reg. No.: 33,04

JRWahl

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PATENT



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Teruo Umemoto

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By: Paula Es

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Commissioner for Patents Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked

PECEIVE.

as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Dated: April 22, 2002

Respectfully submitted,

John R. Wahl, Reg. No. 33,044

Merchant & Gould P.C.

P.O. Box 2903

Minneapolis, MN 55402-0903

(303) 357-1644



ORMATION DISCLOSURE STATEMENT

IN AN APPLICATION

(Use several sheets if necessary)

Docket Number: 40222.0003USCl

Application Number:

10/023,365

Applicant: Teruo Umemoto

Filing Date: December 14,

Group Art Unit: 1741

				U.S. PATENT DOCUMEN	TS			***
EXAMINER INITIAL	DOCUMENT NO.		DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
5,902,907			05/11/99	Takahashi et al.	568	321		
	5,942,641		08/24/99	Tanaka et al.	560	139		
			F	OREIGN PATENT DOCUM	ENTS			
	DOCUMENT NO.		DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	SHO 61-271323		12/01/86	Japan			X	
								<u> </u>
								1
		отн	ER DOCUMEN	NTS (Including Author, Title,	Date, Pertinent Pag	ges, Etc.)		
	1999	A Precursor Route to 2,7-Poly (9-fluorenone), Macromolecules 32, 4519-4524, Uckert et al.						
	March 1985	The Electrochemical Oxidation and Polymerization of Polycyclic Hydrocarbons, Electrochemical Science and Technology, 631-634, Waltman et al.						
	September 23, 1985	The Polyfluorenes: A Family of Versatile Electroactive Polymers; Electropolymerization of Fluorenes; New Journal of Chemistry, Vol. 10, Rault-Berthelot et al.						
	2000	2,7-Poly (9-fluorenone); A Trap-Free Electron-Injection Material with a High Charge Carrier Mobility for Use in Light- Emitting Diodes, Advances Materials, 2000, 12, No. 12, Uckert et al.						
	May 1986	Redox Polymer Films From Cathodic Coupling of 4,4'-Dibromobenzophenone and 2,7-Dibromofluorenone, J. Electroanal. Chem, 215 (1986) 377-383, Zecchin et al.						
	September 20, 1984	Anodic and	Cathodic Depo	sition of Electroactive Polyfluc	orene Films J. Elec	troanal. Chem 186 (1	1985) 191-199	, Schiavon

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APR 2 9 2002

TC 1700



EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.